



Financial Update

DOJ Settlement Agreement Stakeholder Meeting

June 22, 2016

**Budget Development &
Analysis**

Virginia Department of Behavioral
Health and Developmental Services

DOJ FY 2016 Expenditures

The majority of FY 2016 actual expenses are trending to budget. Carry forward funds will be requested for a few categories. Currently, we anticipate carry forward of approximately \$4 million.

Actuals through May 31, 2016 (GF dollars in millions)	FY 2016 Budget	FY 2016 Actuals	Remaining Funds	Actual/Budget
Facility Transition ID Waivers	\$36,048,306	\$29,409,768	\$6,638,538	81.6%
Community ID & DD Waivers	\$40,554,370	\$35,431,600	\$5,122,769	87.4%
Individual Family & Support	\$3,300,000	\$2,575,394	\$724,606	78.0%
Housing	\$17,000	\$2,231	\$14,769	13.1%
Crisis Stabilization	\$16,250,000	\$15,356,881	\$893,119	94.5%
Individuals Not Covered by Medicaid	\$125,801	\$100,345	\$25,456	79.8%
Facility Closure Costs	\$22,606,966	\$18,781,852	\$3,825,114	83.1%
Independent Review	\$427,700	\$397,931	\$29,769	93.0%
DBHDS Administration	\$2,232,300	\$1,969,869	\$262,431	88.2%
DMAS Administration	\$772,145	\$241,587	\$530,558	31.3%
Quality Management	\$591,000	\$439,517	\$151,483	74.4%
Data Warehouse	\$471,159	\$400,760	\$70,399	85.1%
Licensing	\$1,300,000	\$209,242	\$1,090,758	16.1%
Transition Tracking	\$496,330	\$110,252	\$386,078	22.2%
Waiver Management System (WaMS)	\$453,888	\$49,215	\$404,673	10.8%
Community Provider Training	\$70,000	\$72,067	(\$2,067)	103.0%
Supports Intensity Scale	\$1,087,763	\$1,220,316	(\$132,553)	112.2%
DD Health Supports Network	\$3,500,000	\$785,697	\$2,714,303	22.4%
NVTC Bridge Funding	\$5,454,334	\$4,361,933	\$1,092,401	80.0%
Facility Savings	(\$47,388,298)	(\$35,327,925)	(\$12,060,374)	74.5%
Total (including base funding)	\$88,370,764	\$76,588,534	\$11,782,230	86.7%

Potential Uses for Carry Forward

- One-time **Administration** needs.
- **Crisis homes** (two children's and two adult).
- Contract extension with the **Human Services Research Institute (HSRI)**.
- **Independent housing funds**.
- Enhancements to the **Individual and Family Support Program (IFSP) system**.
- Continuation of the onboarding of the **Licensing system**.
- **Licensing specialists certifications**.
- Increased number of **SIS[®] assessments**.
- **Transitional funding** for individuals leaving all of the training centers into less restrictive settings and to complete the expansion-strengthening community providers.
- Contract expenses associated with the **Waiver Management System (WaMS)**.

DOJ 2016 Appropriation Act

General Fund	Agency	Enrolled Budget Amendments		Base Budget	
		FY 2017	FY 2018	FY 2017	FY 2018
Facility Transition ID Waivers	DMAS	\$3,028,084	\$8,481,375	\$39,076,390	\$44,529,681
Community ID & DD Waivers	DMAS	\$29,699,827	\$52,211,076	\$70,254,197	\$92,765,446
Individual Family & Support	790	\$98,729	\$70,080	\$3,298,729	\$3,270,080
Housing	790	\$2,275,000	\$4,150,000	\$2,275,000	\$4,150,000
Crisis Stabilization	790	\$4,330,000	\$5,327,000	\$20,580,000	\$21,577,000
Facility Closure Costs	792/793/DMAS	\$1,734,457	\$1,940,225	\$24,341,423	\$24,547,191
Independent Review	720	\$63,734	\$72,544	\$442,734	\$451,544
DBHDS Administration	720	\$1,763,191	\$2,080,234	\$3,651,191	\$3,968,234
Quality Management	720	\$0	\$0	\$591,000	\$591,000
Facility Savings	DMAS	(\$6,761,919)	(\$8,909,443)	(\$61,446,873)	(\$63,594,397)
DMAS Administration	DMAS	\$0	\$0	\$772,145	\$772,145
Data Warehouse	720	\$0	\$0	\$389,000	\$389,000
Licensing System	720	\$0	\$0	\$200,000	\$200,000
Transition Tracking System	720	\$0	\$0	\$140,000	\$140,000
DMAS MMIS	DMAS	\$0	\$0	\$0	\$0
Community Provider Training	720	\$0	\$0	\$70,000	\$70,000
Supportive Intensive Scale	720	\$0	\$0	\$1,087,763	\$1,087,763
DD Health Supports Network	720	\$1,300,000	\$1,300,000	\$3,900,000	\$3,900,000
Individuals not Covered by Medicaid	720	\$503,204	\$503,204	\$629,005	\$629,005
Bridge Funding	790	\$0	\$0	\$0	\$0
Waiver Management System	720	\$0	\$0	\$453,888	\$453,888
Guardianship	DARS	\$500,000	\$975,000	\$500,000	\$975,000
Event Tracking System	720	\$945,952	\$244,553	\$945,952	\$244,553
Total		\$39,480,259	\$68,445,848	\$112,151,544	\$141,117,133

Trust Fund Budget Actions

Chapter 780 provides \$750,000 in FY 2016 and \$4.0 million in FY 2017 from DBHDS Trust Fund. (Fund currently has a balance of \$2.9 million).

Chapter 780 also uses \$1.1 million in DBHDS Trust Fund dollars (FY 2017 and FY 2018) to support transition services for individuals in training centers and take out a like amount of GF included in the introduced budget.

- The FY 2016 proceeds are to be used for one-time capital and transition costs associated with the development of community-based wavier group homes and/or community based intermediate care facilities for individuals with I/DD who are transitioning to community living from Southwestern Virginia Training Center (SWVTC) and who choose to remain in Southwest Virginia. The housing options are to be located in Virginia no farther than 100 miles from the SWVTC.
- Funding in FY 2017 is for one-time expenses related to developing housing options, specialized services and making capital improvements to enhance and expand services for individuals with I/DD. A minimum of 60 percent of the appropriation is to be used to build additional capacity in Northern Virginia, while the remaining is for individuals transitioning to the community from SWVTC (within 100 miles of SWVTC).

Trust Fund Balance Sheet

Status of DBHDS Trust Fund	
Property Sales	
Sale of Land at Piedmont (FY 2009)	\$20,421.00
Sale of one acre at Catawba Hospital (April 2013)	\$7,484.00
Sale of Petersburg Parcel (VDOT related)	\$8,400.00
SEVTC Proceeds	\$325,977.00
SEVTC Proceeds	\$1,665,932.00
Sale of 25 acres at Petersburg Complex (December 19, 2013)	\$406,204.08
Interest Earned	\$27,185.84
Sale of 16.256 Acres to Dominion - Petersburg Campus	\$235,131.25
Sale of 65 acres at Southeastern Virginia Training Center	\$5,211,087.00
NVTC Transfer to DMV	\$2,000,000.00
ESH Transfer to DMV	\$375,000.00
Total, Land Sale Proceeds	\$10,282,822.17
Expenditures Against Trust Fund	
Bond Defeasance SEVTC FY 2014	\$1,966,801.00
Community ID/DD Services Per Chapter 665 Item 308 (\$513,979 budgeted)	\$5,400,000.00
Conversion of Two Providers of Congregate Day Services (\$750,000 budgeted in FY16)	
Housing Development (\$4 million budgeted in FY17)	
Transitional Funding (\$636,000 budgeted in FY17)	
Total, Expenditures	\$7,366,801.00
Net Fund Position	\$2,916,021.17

FY 2017 Savings Targets by Training Center

Training Center	Projected FY 2017 Cumulative Savings
CVTC	\$8,289,506
NVTC	\$20,017,886
SEVTC	\$665,535
SVTC	\$30,302,156
SWVTC	\$2,171,791
Total	\$61,446,873

- Direct savings are realized as:
 - Individuals discharge from the training center to the community, and as
 - Employees transition from the training center.
- Indirect savings (housekeeping, laundry, electric, etc.) are realized as census and space needs decline.