



Virginia Department of  
Behavioral Health &  
Developmental Services

# Settlement Agreement Update

**Peggy Balak**

**DOJ Settlement Advisor**

Virginia Department of Behavioral  
Health and Developmental Service

Presented by  
Jae Benz

# Independent Reviewer

Studies underway for 9<sup>th</sup> Reporting Period:

- Safety
- Transportation
- Quality Management (excluding case management and provider training)
- Crisis (data review)
- Integrated Day/Supported Employment (data review)
- Individual Service Reviews (ISR) of training center discharges.

Responses to 13 IR Recommendations and the ISR review from the 6-6-16 Report to the Court due on Sept 30

# Updates

- Court ordered change in Reporting Schedule (handout)
  - Review Periods align with fiscal quarters
    - ❖ Oct 1 – March 30
    - ❖ April 1 – Sept 30
  - Report Dates move up 7 days
    - ❖ Dec 13
    - ❖ June 13
- The Parties are in ongoing negotiations with respect to Outcome Timelines and Performance Indicators. No additional Timelines have been adopted by the Parties
- September 21st is next Status Conference

# Feedback

## Follow up on Provider Quality/Capacity

- Provider Record - Reporting framework
  - Quality Service: indicators related to meeting policy and regulation requirements
  - Expertise: staff competency in supporting individuals with DD
  - Person-Centeredness
  - Innovation
  - Outcomes

# Updates

- Provider Competencies

- Waiver regulations

Available online at:

<https://townhall.virginia.gov/L/ViewStage.cfm?stageid=7420>

- Core Competencies for DSP & Supervisors

- Advanced Competencies for complex behavioral needs, health needs, and autism

Available online at:

[http://www.partnership.vcu.edu/DSP\\_orientation/](http://www.partnership.vcu.edu/DSP_orientation/)

## DD Service Availability Survey

Please complete ONE per physical location.

\* Required

**1. Enter the agency's name. \***

Enter name of the entire agency, not just this location.

**2. Enter the name of this location.**

Enter name of the location you are referencing in this survey (if different from agency name).

**3. Enter the name of the primary contact (Last Name, First name) at this location. \***

Enter the primary contact at this physical location.

**4. Enter the title of the primary contact. \***

Enter the title of the primary contact at this location.

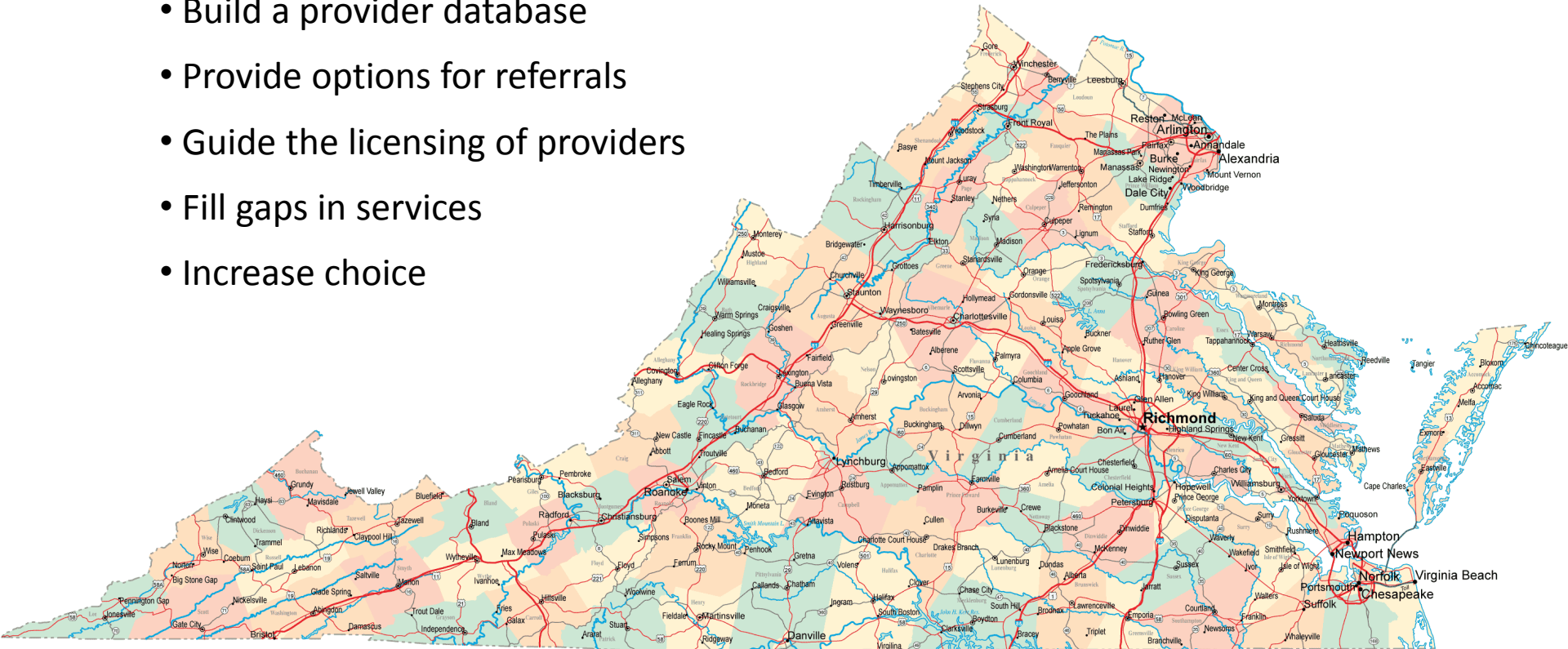
**5. Enter the phone number (with area code) of the primary contact. \***

Enter the phone number of the primary contact at this location (e.g. xxx-xxx-xxxx)

Provider Survey: 750+ providers;  
524 surveys completed as of  
9.12.16

# The Provider Survey helps us...

- Build a provider database
- Provide options for referrals
- Guide the licensing of providers
- Fill gaps in services
- Increase choice



# The identification process...

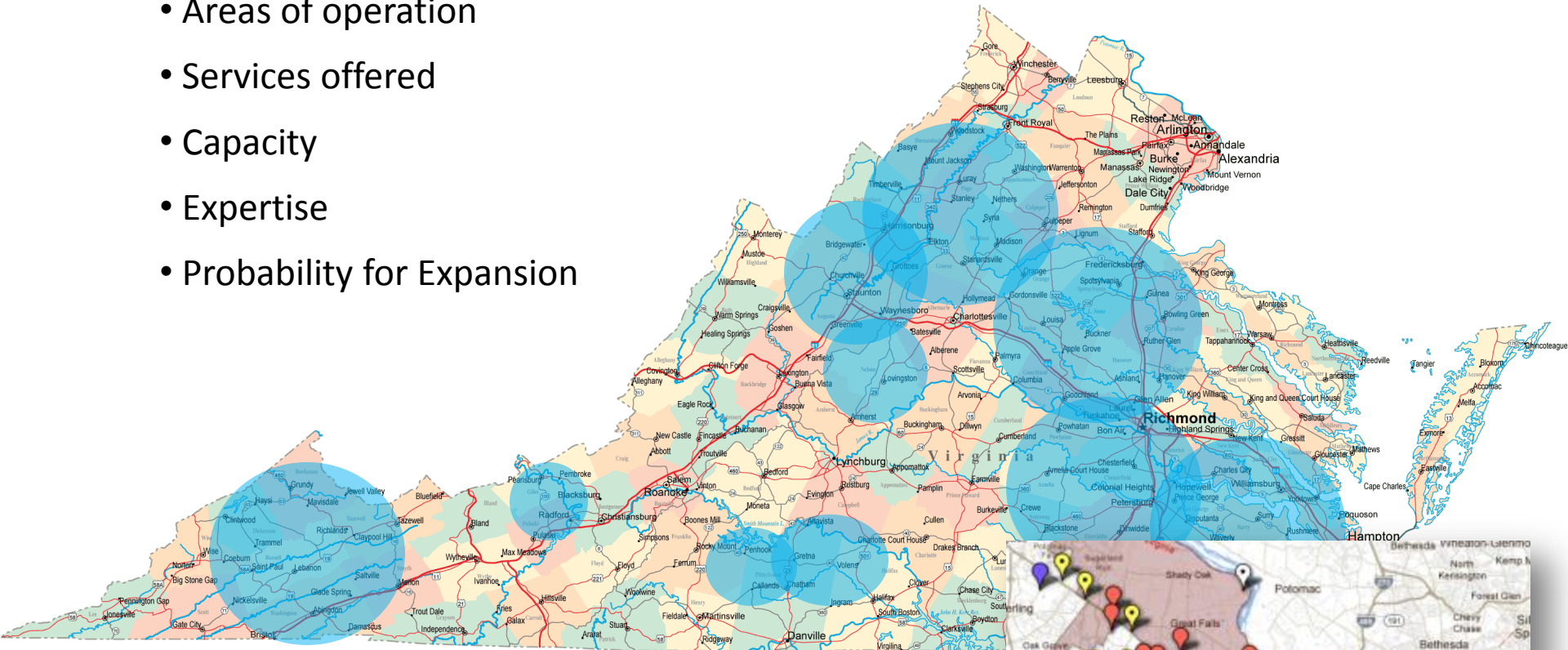
- voluntary
- self-reported





# The Provider Survey identifies...

- Areas of operation
- Services offered
- Capacity
- Expertise
- Probability for Expansion



## Additional steps include:

- Developing standards
- Mechanism for reporting
- Process for confirming provider standing
- Publishing of results online
- Method of continual improvement

# Waiver Implementation

- The new Waiver Management System (WaMS) for service authorization, waiting list management and enrollment was operational on 9/1.
- Slots:
  - the 200 DD waiver slots to reduce the chronological waiting list actually got down to the 254<sup>th</sup> person on the list.
  - anticipating allocating the FY17 Community Living waiver slots to the CSBs by the end of the month.
  - requesting CMS financial participation for the FY17 Family and Individual Supports waiver slots

# Waiver Implementation

- DBHDS service authorization staff has completed all of the service authorizations from the “old systems”
- DDS working with the few remaining Prevocational providers to ensure that they are licensed as day support providers within the next few weeks.
- Emergency regulations have been issued and work beginning shortly on permanent regulations. Currently working on developing chapters for the waiver policy manual.
- Waiver Slot Assignment Committee members have received training in the slot assignment process as well as HIPAA expectations
- Continuing Stakeholder calls to be held weekly through the end of the year



Virginia Department of  
Behavioral Health &  
Developmental Services

# QUESTIONS?

DBHDS Vision: A life of possibilities for all Virginians